

IAP6 Rec'd PCT/PTO 18 MAY 2007  
PCT/7

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shunsuke FUJITA et al.

Serial No. 10/593,872

Filed September 22, 2006

Mail Stop: PCT

Attorney Docket No. 2006-1602A

Confirmation No. 5843

PHOSPHOR AND LIGHT-EMITTING DIODE

[Corresponding to PCT/JP2005/005412

Filed March 24, 2005]

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Sir:

Attached hereto is a check in the amount of \$130.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration ..... \$130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Shunsuke FUJITA et al.

By

Nils E. Pedersen

Registration No. 33,145  
Attorney for Applicants

05/22/2007 GREY1 00000006 10593872  
01 FC:1617

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Telephone (202) 721-8200  
May 18, 2007

[Check No.

80437

2006-1602A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 5843**  
Shunsuke FUJITA et al. : **Mail Stop: PCT**  
Serial No. 10/593,872 : **Attorney Docket No. 2006-1602A**  
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PHOSPHOR AND LIGHT-EMITTING  
DIODE  
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**REPLY TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the PTO Notification of Missing Requirements Under 35 USC 371 dated May 11, 2007, submitted herewith is a Declaration for the above application executed by the inventors.

Please note that inventor Setsuhisa TANABE's address is as follows: 83-227, Unrinincho, Murasakino, Kita-ku, Kyoto-shi, Kyoto 6038214, Japan.

Also enclosed are the PTO surcharge of **\$130.00** required by 37 CFR 1.492(h), and a copy of the PTO notice.

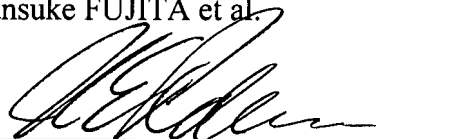
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ACCOUNT NO. 23-0975

It is respectfully submitted that the application is now complete, and early indication thereof is now requested.

Respectfully submitted,

Shunsuke FUJITA et al.

By

A handwritten signature in black ink, appearing to read 'N. Pedersen', written over a horizontal line.

Nils E. Pedersen

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May 18, 2007



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/593,872	Shunsuke Fujita	2006-1602A

INTERNATIONAL APPLICATION NO.
PCT/JP05/05412

513  
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 2033 K STREET N. W.  
 SUITE 800  
 WASHINGTON, DC 20006-1021

RECEIVED  
 MAY 14 2007  
 WENDEROTH, LIND & PONACK

I.A. FILING DATE	PRIORITY DATE
03/24/2005	03/31/2004

CONFIRMATION NO. 5843

371 FORMALITIES LETTER

\*OC000000023807915\*

Date Mailed: 05/11/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/22/2006
- English Translation of the IA filed on 09/22/2006
- Copy of the International Search Report filed on 09/22/2006
- Preliminary Amendments filed on 09/22/2006
- Oath or Declaration filed on 09/22/2006
- Request for Immediate Examination filed on 09/22/2006
- U.S. Basic National Fees filed on 09/22/2006
- Priority Documents filed on 09/22/2006
- Non-English Language Application filed on 09/22/2006
- Specification filed on 09/22/2006
- Claims filed on 09/22/2006
- Abstracts filed on 09/22/2006
- Drawings filed on 09/22/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/593,872	PCT/JP05/05412	2006-1602A